Serial No. 10/707,698 · Examiner: E. Nicholson

Art Unit: 3679 June 13, 2005

Page 2 of 9

IN THE CLAIMS:

1. (Currently Amended) A tube fitting, comprising:

a fitting body having a cylindrical bore for receiving a tube end and including a tapered

mouth at one end of said bore that forms a camming surface; a drive member joinable with said

body and having a ferrule drive surface; and a ferrule having a tapered nose portion that extends

into said tapered mouth of the fitting body, a substantially continuous cylindrical interior wall

that closely surrounds the tube end, and a driven surface on a back end thereof that engages said

ferrule drive surface; said ferrule being case hardened about its entire surface, said ferrule having

a rear portion of said cylindrical interior wall that is radially spaced from the tube end upon pull-

up of the fitting, a forward edge of said tapered nose portion that penetrates an outer surface of

the tube end caused by radial inward compression of said forward edge as said tapered nose

portion slides against said camming surface during pull-up, and a collet portion of said

substantially continuous cylindrical interior wall of said nose portion that is axially adjacent said

forward edge and that upon pull-up of the fitting is deformed substantially radially inwardly by a

hinging action of the ferrule between said back end and said forward edge to produce a swaged

region which in longitudinal cross-section is a convex portion of said cylindrical interior wall,

with said convex portion being compressed against said outer surface of the tube end to collet the

tube end.

2. (Original) The fitting of claim 1 wherein said ferrule is deformed during pull-up of the

fitting by a toggle-like hinging action.

Serial No. 10/707,698 · Examiner: E. Nicholson

Examiner: E. N Art Unit: 3679

June 13, 2005 Page 3 of 9

3. (Original) The fitting of claim 2 wherein said toggle-like hinging action results from said

rear portion moving radially outward from said outer surface of the tube end about a region of

said ferrule that joins said rear portion to said collet portion.

4. (Original) The fitting of claim 3 wherein said toggle-like hinging action causes said collet

portion to be radially compressed against said outer surface of the tube end with a high gripping

pressure upon pull-up of the fitting.

5. (Original) The fitting of claim 3 wherein said drive member ferrule drive surface initially

contacts said ferrule driven surface at a location radially outward to at least a central portion of

said ferrule driven surface.

6. (Original) The fitting of claim 1 wherein said driven surface is convex.

7. (Currently Amended) The fitting of claim $6 \pm$ wherein said convex surface is curved.

8. (Original) The fitting of claim 1 wherein said ferrule comprises metal.

9. (Original) The fitting of claim 8 wherein said metal comprises stainless steel.

10. (Currently Amended) A tube fitting, comprising:

a fitting body having a cylindrical bore for receiving a tube end and including a tapered

mouth at one end of said bore that forms a camming surface; a drive member joinable with said

body and having a ferrule drive surface; a ferrule having a substantially continuous cylindrical

interior wall that closely surrounds the tube end, a tapered nose portion that extends into said

tapered mouth, and a driven surface on a back end thereof that engages said ferrule drive surface;

Serial No. 10/707,698 · Examiner: E. Nicholson

Art Unit: 3679
June 13, 2005

Page 4 of 9

said ferrule having a rear portion of said cylindrical interior wall that is radially spaced from the

tube end upon pull-up of the fitting, a forward edge of said tapered nose portion that penetrates

an outer surface of the tube end caused by radial inward compression of said forward edge as

said tapered nose portion slides against said camming surface during pull-up, and a collet portion

of said substantially continuous cylindrical interior wall of said nose portion that is axially

adjacent said forward edge and that upon pull-up of the fitting is deformed by a toggle-like

hinging action that results from radially inward deformation of said nose portion and said rear

portion moving radially outward from said outer surface of the tube end about a region of said

ferrule that joins said rear portion to said collet portion, said collet portion providing a swaged

region which in longitudinal cross-section is a convex portion of said cylindrical interior wall of

high frictional engagement between said nose portion and the tube outer surface.

11. (Original) The tube fitting of claim 10 wherein said collet portion is radially compressed

against said outer surface of the tube end to collet the tube end with a high radial gripping

pressure.

12. (Original) The tube fitting of claim 10 wherein said ferrule is case hardened about its entire

surface.

13. (Original) The fitting of claim 10 wherein said drive member ferrule drive surface initially

contacts said ferrule driven surface at a location radially outward to at least a central portion of

said ferrule driven surface.

14. (Original) The fitting of claim 10 wherein said ferrule comprises metal.

Serial No. 10/707,698

Examiner: E. Nicholson

Art Unit: 3679 June 13, 2005

Page 5 of 9

15. (Original) The fitting of claim 10 wherein said metal comprises stainless steel.

16-29. Canceled.

30. (Currently Amended) A method for sealing and gripping a tube end with a tube fitting of

the type having a fitting body and nut that are joinable and enclose a tube end, and a ferrule that

has a forward portion that engages the body and a rearward portion that is driven by the nut when

the fitting is pulled up, the method comprising the steps of:

deforming the ferrule during pull-up of the fitting so as to cause a back end thereof to be

radially spaced from the tube end upon pull-up;

deforming the ferrule during pull-up so as to cause a front edge thereof to indent into the

tube end by applying a radial inward compression of said forward edge when driving said

forward portion into said fitting body; and

deforming the ferrule during pull-up with a toggle-like hinging action between said

forward and reward portions of the ferrule so that a collet portion that is axially adjacent the front

edge is radially compressed against and collets the tube end with a swaged region that in

longitudinal cross-section upon pull-up is a convex portion in of high frictional engagement with

the tube end.

31. (Original) The method of claim 30 wherein said collet portion is axially behind said indented

front edge to isolate said indented front edge from vibration.

Serial No. 10/707,698

Examiner: E. Nicholson

Art Unit: 3679 June 13, 2005

Page 6 of 9

32. (Original) The method of claim 30 comprising the step of case hardening the ferrule over its

entire surface prior to assembly into the fitting.

33. (Original) The method of claim 30 comprising the step of forming a circumferential recess

in a central bore of the ferrule prior to assembly into the fitting.

34. (Original) The method of claim 30 comprising the step of forming a convex driven surface at

said back end of the ferrule prior to assembly into the fitting.

35. (Currently Amended) A tube fitting comprising:

a fitting body having a cylindrical bore for receiving a tube end and including a tapered

mouth at one end of said bore;

a drive member having a threaded engagement with said body and having a ferrule drive

surface;

a ferrule having a substantially continuous cylindrical interior wall that closely surrounds

the tube end when installed thereon, a tapered nose portion that can be inserted into said tapered

mouth, and a driven surface on a back end thereof that engages said ferrule drive surface during

pull-up of the fitting; and

wherein said ferrule is case hardened about its entire surface, and said ferrule has a

configuration such that upon pull-up of the fitting said ferrule will deform with a toggle-like

hinging action to cause: 1) a rear portion of said cylindrical interior wall to be radially spaced

from the tube end, 2) a forward edge of said tapered nose portion to penetrate an outer surface of

Serial No. 10/707,698 Examiner: E. Nicholson

Art Unit: 3679

June 13, 2005 Page 7 of 9

the tube end caused by radial inward compression of said forward edge, and 3) a collet portion of

said substantially continuous cylindrical interior wall that is axially adjacent said forward edge to

be deformed radially against said outer surface of the tube end to collet the tube end with a

swaged region of high frictional engagement in the form of a convex portion of said cylindrical

interior wall when viewed in longitudinal cross-section.

36-37. Canceled.